



image

1624

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/051,776
Filing Date	January 16, 2002
First Named Inventor	Edward Pierson
Art Unit	1624
Examiner Name	Patel, Sudhakder B
Attorney Docket Number	100507-1

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	References
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Remarks	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	George Gilbert; AstraZeneca Pharmaceuticals
Signature	
Date	12-31-03

CERTIFICATE OF TRANSMISSION/MAILING

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AstraZeneca Docket No. 100507-1

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application of: **PIERSON, et al.**
Application Number: **10/051,776**
Filed: **January 16, 2002**

Group Art Unit: **1624**
Examiner: **Patel, Sudhaker B**

For: **THERAPEUTIC HETEROCYCLIC COMPOUNDS**

Assistant Commissioner For Patents
Box Issue Fee
Washington, DC 20231

Information Disclosure Statement

Sir:

Applicants submit herewith a list of information that Applicants believe may be material to the patentability of claims of the above-identified application and for which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicants have attached a notice of co-pending applications as well as a Form 1449. Applicants have also enclosed copies of co-pending applications as well as references.

In accordance with 37 C.F.R. §§ 1.97 (g) and (h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that the information cited is material to patentability as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits pursuant to 37 C.F.R. § 1.97(b)(3), and therefore no fee is required pursuant to 37 C.F.R. § 1.17(p) for consideration of this information.

December 31, 2003

Respectfully Submitted

By 

George A. Gilbert

Attorney for Applicant

Reg. No. 35,881

Telephone: 302/886-3887

Notice of Co-pending Applications

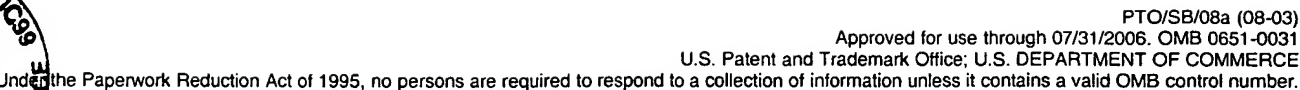
Sir:

Applicants submit herewith a list of co-pending applications pursuant to MPEP § 2001.06:

US 10/466,449 filed 07/16/2003

US 10/466,565 filed 07/16/2003

US 10/466,540 filed 07/16/2003

**Complete if Known**

(Use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	100507-1 US
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Application Number	10/051,776
Filing Date	01/16/2002
First Named Inventor	Edward Pierson
Art Unit	1624
Examiner Name	
Attorney Docket Number	100507-1 US

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 9109853	07/11/1991	Aktiebolaget Astra		
		EP 0546389	06/16/1993	Bayer AG		
		GB 2177084	01/14/1987	Imperial Chemical Industries		

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Application Number	10/051,776
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Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PCT Written Opinion PCT/SE02/00070	
		PCT Written Opinion PCT/SE02/00069	
		PCT Written Opinion PCT/SE02/00068	
		International Search Report for International Application No. PCT/SE02/00070	
		International Search Report for International Application No. PCT/SE02/00069	
		International Search Report for International Application No. PCT/SE02/00068	

Examiner
SignatureDate
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: C mmissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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